

Commissioner of Patents
P.O. Box 1450
Alexandria VA 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor: AYLIFFE, MICHAEL H.; CHEN, DIANA.
Entitled: COUPLING OPTICAL COMPONENTS IN AN OPTICAL ASSEMBLY

Enclosed are:

☒ 24 sheets of specification and claims☒ 4 sheet(s) of drawings and 1 copy of same☐ An Assignment of the invention to: _____.☒ Declaration and Power of Attorney () Executed (X) Unexecuted☐ Applicant is Small Entity☒ Information Disclosure Statement☒ Request concerning 18-month publication under 35 U.S.C. 122(b)☐ Also enc.: _____.

The filing fee has been calculated as shown below:

(Col. 1)		(Col.2)	SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE:	1		X385 = \$	0	X770 = \$	770
TOTAL CLAIMS:	14 -	20 = 0	X 9 = \$	0 or	X 18 = \$	0
INDEP CLAIMS:	3 -	3 = 0	X 43 = \$	0 or	X 86 = \$	
MULTIPLE DEPEND CLAIM PRESENTED			X140 = \$	or	X280 = \$	
CHECK ENCLOSED:			TOTAL= \$		TOTAL=\$	770

☐ Please charge the Deposit Account No. _____ in the amount of \$_____.☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____.☐ A duplicate copy of this transmittal sheet is enclosed.

Respectfully submitted,

Robert A. Parsons
Robert A. Parsons, Reg. No. 32,713

11/25/03
Date

16138 U.S. PTO
112503

19587 U.S. PTO
10/721197
112503

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: AYLIFFE, MICHAEL H.; CHEN, DIANA.)
Serial No.:)
Filed: HEREWITH)
Title: COUPLING OPTICAL COMPONENTS IN AN)
OPTICAL ASSEMBLY)

CERTIFICATE OF EXPRESS MAILING

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop Patent Applications

"Express Mail" mailing label number: EV 408010486 US
Date of Deposit: 25 NOVEMBER 2003

Dear Sir:

I hereby certify that the attached Application Transmittal Form; Declaration and Power of Attorney, unexecuted; Information Disclosure Statement; Request Concerning Nonpublication; Application: Specification, seventeen (17) page(s); Claims, eight (8) page(s); Abstract, one (1) page(s); four (4) sheet(s) informal drawings and one copy of same; check for appropriate fee; and a postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 addressed to the Commissioner of Patents Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Patent Applications on 25 November 2003.



Signature

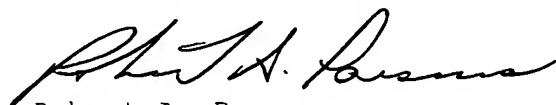
PARSONS & GOLTRY
340 East Palm Lane
Suite 260
Phoenix, Arizona 85004

(602) 252-7494

11-25-03

Date

Respectfully submitted,


Robert A. Parsons
Attorney for Applicant
Registration No. 32,713